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2 **PREDICTING OCCUPANCY OF PARKING SPACES IN TRANSPORTATION**
3 **NETWORKS: A DEEP LEARNING APPROACH WITH MULTI-SOURCE**
4 **SPATIO-TEMPORAL DATA**

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41 INTRODUCTION

42 Growing parking demand and limited spaces has become one of the major issues of urban trans-
43 portation systems. During peak hours, "cruising for parking" is a common phenomenon in areas
44 with dense population. In coping with this issue, numerous parking intervention and guidance sys-
45 tems have been developed during the last few decades, among most of them, a reliable source of
46 predicted future parking occupancies is one of the key factors to their effectiveness. By obtaining a
47 reliable prediction of on-street parking occupancies, proper parking location recommendations and
48 cruising strategies can be generated in advance, by sharing these information to en-route drivers
49 vis mobile devices or Advanced Driving Assistance Systems (ADAS), drivers will cruise and park
50 in a more efficient way.

51 As for predicting short-term parking occupancy, most approaches in literature follow one
52 of the following two paths: (1) Model individual driver's stochastic arrival and departure behav-
53 iors in a microscopic way(1–4). The distributions of the arriving/departure process are commonly
54 assumed Poisson or Negative Exponential(5, 6), and usually evaluated via simulations. (2) Data-
55 driven approaches, which utilize statistical models on historical and real-time observations to pre-
56 dict aggregated parking occupancies in a mesoscopic manner, such as on street or block levels. In
57 this study, we adopt the data-driven approach by incorporating multiple traffic-related sources with
58 real-time and historical data, including historical parking occupancy, traffic status, road character-
59 istics, weather and network topology, and predict short-term parking occupancy via a deep neural
60 network method.

61 In our method, we utilize parking meter transactions data instead of sensor data as the
62 parking occupancy, which offers the following advantages: Since 95% of the on-street paid parking
63 are managed by meters, a prediction model based on transactions is more adaptive and cost efficient
64 than one based on parking sensors data. In our previous study, we have shown that the estimation
65 of parking occupancy based on transactions can be calibrated to a satisfying level given a small
66 amount of ground truth data(4), which can be collected manually or picked from sensor records
67 in their best conditions. To model the complicated correlation as well as causality relationship
68 between on-street parking occupancy, information from various traffic-related sources and network
69 topology, we proposed a method of combining the graph based theories with a convolutional neural
70 network. The temporal relationships of parking occupancies along with other traffic-related data
71 are simulated via Long-Short Term Memory(LSTM), while their spatial correlations are model
72 through graph-based CNN.

73 METHODOLOGY

74 Apart from parking occupancies, external traffic-related data sources can serve as indicators for
75 future parking demand, such as speed, traffic counts, transit information, incidents and weather.
76 Under certain scenarios, like abnormal congestions, nearby incidents or incoming snowstorms,
77 information acquired from these sources are critical to the success of short-term occupancy pre-
78 dictions. Multiple data sources are incorporate simultaneously in the network framework of our
79 method, specifically, individual data source are first embedded separately in our framework, then,
80 the embedded values are merged by a multi-layer decoder, which outputs the predicted occupan-
81 cies. As a result, our network framework provides the flexibility that individual data sources can be
82 attached or detached from the network without compromising the overall structure of the predic-
83 tion model. This improves the generosity of model since not all the aforementioned data sources
84 are available for a given location. Also, by evaluating the performance of different combinations

85 of input features, we can infer the effectiveness of each data source in occupancy prediction and
 86 find the most proper models.

87 In the context of large scale road network with multiple data sources, the dimension of
 88 model input space is too high to be modeled by simple statistical methods. In our method, we
 89 propose a deep neural network by connecting graph CNN, LSTM and multi-layer feed-forward
 90 decoder. Such a structure can handle the high dimensional input space by modeling the non-
 91 linear correlations among spatial-temporal data sources and filtering out redundant information.
 92 Specifically, the spatial information is modeled through layers of convolutional neural network on
 93 graph (GCNN) (7, 8). The GCNN uses graph spectral theory (9) to filter the signal on localized
 94 sub-graphs, then uses the filtered signal as the features for the neural networks. The temporal
 95 information could then be captured through a recurrent neural network (RNN), in our case, Long
 96 Short Term Memory (LSTM) (10). Multiple data sources can be handled separately first by feature
 97 embedding and feature extractions for each data source, the extracted features are then combined
 98 as the input for a multi-layer decoder which yields the prediction of occupancies for each road link
 99 in the network. Specifications of the above modules in our model is discussed in the following
 100 subsections.

101 Graph CNN

102 In our method, the road network is modeled as a directed graph, with nodes being road link or
 103 parking locations, and edges transfer the traffic flow or parking demand among nodes. To model
 104 the spatial correlations among road links or parking block of the road network, graph convolution
 105 operations are used to conduct message passing on the graph. Since nodes in a graph are not
 106 homogeneous to pixels in an Euclidean structure(such as an image), it is critical to define the local
 107 reception field in order to perform the convolution operations. Thus, we utilize spectral filters on
 108 signals(7) to conduct convolutions on an directed graph. As explained below.

109 Given a graph $G = (V, E, W)$, where V is the set of nodes, $|V| = n$, E is the set of edges
 110 and $W \in \mathbb{R}^{n \times n}$ is the weight matrix for all pairs of nodes. We define a signal $x \in \mathbb{R}^n$ on the graph,
 111 where x_i is the signal for node i . Define the normalized Lagrangian of the graph $L = I - D^{-\frac{1}{2}}WD^{-\frac{1}{2}}$,
 112 where D is the diagonal degree matrix with $D_{ii} = \sum_j W_{ij}$. The eigenvalues of L are known as the
 113 frequencies of the graph, and the corresponding eigenvalues are known as graph Fourier modes.
 114 Thus by signal value decomposition, we have $L = U\lambda U^T$, where $\lambda = \text{diag}([\lambda_0, \dots, \lambda_{n-1}])$, U is
 115 the unitary eigenvector matrix.

116 We can then define a convolution operator on graph G on the Fourier domain, the definition
 117 is presented in Equation 1.

$$118 \quad y = g_{\theta}(L)x = Ug_{\theta}(\lambda)U^T x \quad (1)$$

119 We use localized filter so that the convolution operation on one signal only focuses on it
 120 neighborhoods. The localized filter shares the same ideas with tradition CNN on parameter sharing
 121 and connectivity localization. The localized polynomial filter is presented in Equation 2.

$$122 \quad g_{\theta}(\Lambda) = \sum_{k=0}^{K-1} \theta_k \Lambda^k \quad (2)$$

123 To enhance the computational efficiency, we apply Chebyshev expansion to the polynomial
 124 filters(11). By defining $T_k(x) = 2xT_{k-1}(x) - T_{k-2}(x)$ and $\tilde{\Lambda} = 2\Lambda/\lambda_{max} - I$, the polynomial filter

125 can be formulated as in Equation 3.

$$126 \quad g_{\theta}(\Lambda) = \sum_{k=0}^{K-1} \theta_k T_k(\tilde{\Lambda}) \quad (3)$$

127 CASE STUDY OF PITTSBURGH DOWNTOWN

128 To evaluate the performance of the proposed prediction model, we conducted a case study in Pitts-
 129 burgh downtown area, which contains 97 on-street parking meters scattered over the road network.
 130 Due to the availability of data sources, three types of data along with the topology of the road
 131 network are used in this case study, including parking meter transactions, traffic speed and weather
 132 conditions. To evaluate the effectiveness of the proposed method as well as the contributions of
 133 each dataset, numerous experiments are conducted, results of the experiments are presented in this
 134 subsection. The proposed framework is implemented on PyTorch, all the experiments are con-
 135 ducted on a Linux workstation with two 1080Ti GPUs. All networks are trained by ADAM for
 136 1000 epochs or early stop if no improvement in 5 epochs. The performance of all networks are
 137 evaluated by the Mean-squared-error(MSE) of predicting parking occupancies of all street blocks
 138 30 minutes in advance.

139 The training progress of the final model is presented in Fig. 1, the y-axle is the loss in
 140 log scale, notice the loss is measured in Mean Square Error of the block-wise occupancies after
 141 preprocessing, i.e. occupancies values in the range of $[-1, 1]$. As we can see, the proposed model
 142 converges quickly in the first 15 epochs, after that, the training loss continues to drop slowly while
 143 the testing loss starts to go up a little bit as the sign of over-fitting. Finally, the training progress is
 144 terminated at the 37th epoch triggered by early stopping.

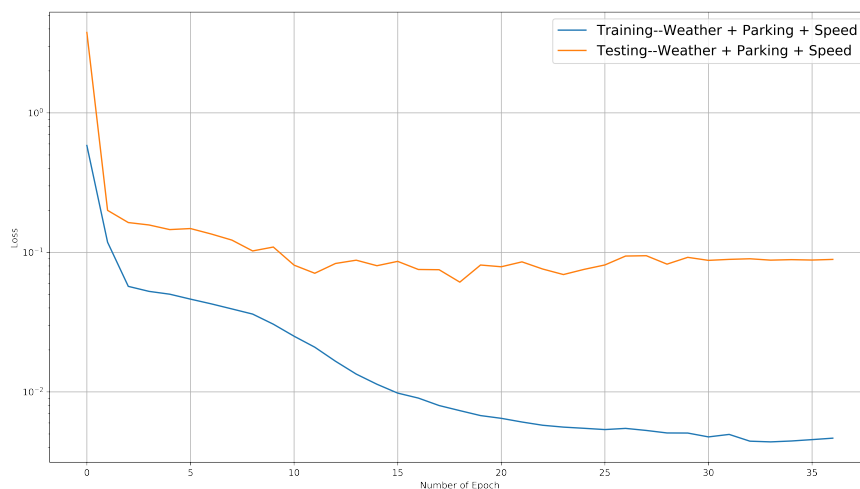


FIGURE 1: Model converge results

145 Comparison with baseline models

Results of model comparison are shown in Table 1. For the 2-layered LSTM, the best result is reached on a configuration of using 1024 and 256 as the dimensions for the first and second layers, along with a dropout rate of 0.25; For the model of 3-layer LSTM, the best result is reached on

TABLE 1: Comparisons between models

Model	Train RMSE	Test RMSE
GCNN+LSTM+FNN (proposed model)	3.17	4.02
2-layer LSTM	4.25	5.15
3-layer LSTM	5.61	6.34
LASSO	4.27	4.63
Historical Average	11.48	11.51
Last Observation	8.99	9.29

a 2048-512-128 network configuration with a dropout rate of 0.25. As for LASSO, the objective function of optimization is shown in Eq. 4, Where X, y, β being input features, occupancy ground truth and model parameters, respectively. In LASSO, all spatial information are dropped as the input being flattened into a one-dimensional vector. the optimization object of LASSO. In Historical Average, historical occupancy observations of the same time and week-of-day are averaged as the predicted value for individual blocks. In Last Observation, the current occupancy is used as the predicted value for 30 minutes later.

$$\min_{\beta \in \mathbb{R}} \left\{ \frac{1}{N} \|y - X\beta\|_2^2 + \lambda \|\beta\|_1 \right\} \quad (4)$$

146 As we can see, the proposed network outperforms all baseline models with a significant
 147 margin, the closest one to our method is LASSO. Interestingly, the two multi-layer LSTM models
 148 are beaten by LASSO, as the linear regression model with L1 constrains, indicating that some com-
 149 plex deep neural network models are prone to failure when applied high-dimensional but small-
 150 sized dataset from real world. The GCNN, on the other hands, applies spectral filtering on the
 151 graph representation of road network to capture the core spatial correlations while bounding the
 152 model complexity by parameter sharing. Thus is more suitable for scenario with strong spatial
 153 correlations among features than other deep learning models like vanilla RNN.

154 CONCLUSIONS

155 In this paper, a deep learning model is proposed for predicting block-level parking occupancy in
 156 real time. The model leverages Graph-Convolutional Neural Networks (GCNN) to extract the spa-
 157 tial relations of traffic flow in large-scale networks, and utilizes Recurrent neural network (RNN)
 158 and Long-short term memory (LSTM) to capture the temporal features. In addition, the model is
 159 capable of taking multiple heterogeneously structured traffic data sources as input, such as park-
 160 ing meter transactions, speed, transit, weather, etc. The model performance is evaluated on a
 161 case study conducted in Pittsburgh downtown area. Parking meter transactions, traffic speed, and
 162 weather data along with road networks are used in the case study. The proposed model outperforms
 163 other baseline methods including multi-layer LSTM and Lasso with a testing Mean Square Error
 164 (MSE) of 4.02 spaces when predicting block-level parking occupancies 30 minutes in advance.
 165 The case study also shows that features of traffic speed and weather are effective in predicting
 166 parking occupancies.

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